

**Form A2-3: Compliance Verification Report for Inverter Connected Power Generating Modules**

This form should be used by the **Manufacturer** to demonstrate and declare compliance with the requirements of EREC G99. The form can be used in a variety of ways as detailed below:

1. To obtain **Fully Type Tested** status

The **Manufacturer** can use this form to obtain **Fully Type Tested** status for a **Power Generating Module** by registering this completed form with the Energy Networks Association (ENA) Type Test Verification Report Register.

2. To obtain **Type Tested** status for a product

This form can be used by the **Manufacturer** to obtain **Type Tested** status for a product which is used in a **Power Generating Module** by registering this form with the relevant parts completed with the Energy Networks Association (ENA) Type Test Verification Report Register.

3. One-off Installation

This form can be used by the **Manufacturer** or **Installer** to confirm that the **Power Generating Module** has been tested to satisfy all or part of the requirements of this EREC G99. This form must be submitted to the **DNO** as part of the application.

A combination of (2) and (3) can be used as required, together with Form A2-4 where compliance of the **Interface Protection** is to be demonstrated on site.

Note:

Within this Form A2-3 the term **Power Park Module** will be used but its meaning can be interpreted within Form A2-3 to mean **Power Park Module, Generating Unit or Inverter** as appropriate for the context. However, note that compliance must be demonstrated at the **Power Park Module** level.

If the **Power Generating Module** is **Fully Type Tested** and registered with the Energy Networks Association (ENA) Type Test Verification Report Register, the Installation Document (Form A3) should include the **Manufacturer's** reference number (the Product ID), and this form does not need to be submitted.

Where the **Power Generating Module** is not registered with the ENA Type Test Verification Report Register or is not **Fully Type Tested** this form (all or in parts as applicable) needs to be completed and provided to the **DNO**, to confirm that the **Power Generating Module** has been tested to satisfy all or part of the requirements of this EREC G99.

|                            |                             |   |                         |
|----------------------------|-----------------------------|---|-------------------------|
| <b>PGM</b> technology      |                             | For All C65 system variants             |                         |
| <b>Manufacturer</b> name   |                             | Capstone Turbine Corporation            |                         |
| Address                    |                             | 16640 Stagg Street<br>Van Nuys, CA91406 |                         |
| Tel                        | +1 866 422 7786             | Web site                                | www.capstoneturbine.com |
| E:mail                     | service@capstoneturbine.com |   |                         |
| <b>Registered Capacity</b> |                             | 65kW                                    |                         |

**Engineering Recommendation G99 Form A2-3**

Type A Power Generating Modules



There are four options for Testing: (1) **Fully Type Tested**, (2) **Partially Type Tested**, (3) one-off installation, (4) tested on site at time of commissioning. The check box below indicates which tests in this Form have been completed for each of the options. With the exception of **Fully Type Tested PGMs** tests marked with \* may be carried out at the time of commissioning (Form A4).

| <b>Tested option:</b>  | <b>1. Fully Type Tested</b> | <b>2. Partially Type Tested</b> | <b>3. One-off Man. Info.</b> | <b>4. Tested on Site at time of Commissioning</b> |                               |
|--|-----------------------------|---------------------------------|------------------------------|---|-------------------------------|
| <b>0. Fully Type Tested</b> - all tests detailed below completed and evidence attached to this submission  |                             | N/A                             | N/A                          | N/A   |                               |
| 1. Operating Range   | <b>N/A</b>                  | Yes                             |                              |   |                               |
| 2. PQ – Harmonics  |                             | Yes                             |                              |   |                               |
| 3. PQ – Voltage Fluctuation and Flicker  |                             | Yes                             |                              |   |                               |
| 4. PQ – DC Injection ( <b>Power Park Modules</b> only)   |                             | Yes                             |                              |   |                               |
| 5. <b>Power Factor (PF)*</b>   |                             | Yes                             |                              |   |                               |
| 6. Frequency protection trip and ride through tests*   |                             | Yes                             |                              |   |                               |
| 7. Voltage protection trip and ride through tests*   |                             | Yes                             |                              |   |                               |
| 8. Protection – Loss of Mains Test*, Vector Shift and RoCoF Stability Test*  |                             | Yes                             |                              |   |                               |
| 9. <b>LFSM-O</b> Test*   |                             | Yes                             |                              |   |                               |
| 10. Protection – Reconnection Timer*   |                             | Yes                             |                              |   |                               |
| 11. Fault Level Contribution   |                             | Yes                             |                              |   |                               |
| 12. Self-monitoring Solid State Switch   |                             | Yes                             |                              |   |                               |
| 13. Wiring functional tests if required by para 15.2.1 (attach relevant schedule of tests)*  |                             |                                 |                              |   | <b>Site Wiring (&gt;50kW)</b> |
| 14. Logic Interface (input port)*  |                             | Yes                             |                              |   |                               |
| <p>* may be carried out at the time of commissioning (Form A.2-4).</p> <p>Document reference(s) for <b>Manufacturers’ Information:</b></p> <p>13) Per ENA: As the device is greater than 50kW the device submission needs to be uploaded as Partially Type Tested due to the outstanding Harmonic and Voltage Fluctuation Tests to be carried out by the DNO as per EREC G5 and EREC P28 respectively.</p> |                             |                                 |                              |   |                               |

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Type A Power Generating Modules



|   |  |              |                              |
|---|--|--------------|------------------------------|
| <p><b>Manufacturer</b> compliance declaration. - I certify that all products supplied by the company with the above <b>Type Tested Manufacturer's</b> reference number will be manufactured and tested to ensure that they perform as stated in this document, prior to shipment to site and that no site <b>Modifications</b> are required to ensure that the product meets all the requirements of EREC G99.</p>  |  |              |                              |
| Signed  |  | On behalf of | Capstone Turbine Corporation |
| <p>Note that testing can be done by the <b>Manufacturer</b> of an individual component or by an external test house.<br/>Where parts of the testing are carried out by persons or organisations other than the <b>Manufacturer</b> then that person or organisation shall keep copies of all test records and results supplied to them to verify that the testing has been carried out by people with sufficient technical competency to carry out the tests.</p> |  |              |                              |

| <b>A2-3 Compliance Verification Report –Tests for Type A Inverter Connected Power Generating Modules – test record</b>   |                  |
|--|------------------|
| <p><b>1. Operating Range:</b> Two tests should be carried with the <b>Power Generating Module</b> operating at <b>Registered Capacity</b> and connected to a suitable test supply or grid simulation set. The power supplied by the primary source shall be kept stable within <math>\pm 5\%</math> of the apparent power value set for the entire duration of each test sequence.</p> <p>Frequency, voltage and <b>Active Power</b> measurements at the output terminals of the <b>Power Generating Module</b> shall be recorded every second. The tests will verify that the <b>Power Generating Module</b> can operate within the required ranges for the specified period of time.</p> <p>The <b>Interface Protection</b> shall be disabled during the tests.</p> <p>In case of a PV <b>Power Park Module</b> the PV primary source may be replaced by a DC source.</p> <p>In case of a full converter <b>Power Park Module</b> (eg wind) the primary source and the prime mover <b>Inverter/rectifier</b> may be replaced by a DC source.</p> |                  |
| Test 1<br>Voltage = 85% of nominal (195.5 V),<br>Frequency = 47 Hz,<br><b>Power Factor</b> = 1,<br>Period of test 20 s   | Always connected |
| Test 2<br>Voltage = 85% of nominal (195.5 V),<br>Frequency = 47.5 Hz,<br><b>Power Factor</b> = 1,<br>Period of test 90 minutes   | Always connected |
| Test 3<br>Voltage = 110% of nominal (253 V),<br>Frequency = 51.5 Hz,<br><b>Power Factor</b> = 1,<br>Period of test 90 minutes  | Always connected |
| Test 4<br>Voltage = 110% of nominal (253 V),<br>Frequency = 52.0 Hz,<br><b>Power Factor</b> = 1,<br>Period of test 15 minutes  | Always connected |
| Test 5<br>Voltage = 100% of nominal (230 V),<br>Frequency = 50.0 Hz,<br>Power Factor = 1,<br>Period of test = 90 minutes   | Always connected |
| <p><b>Power Generating Module</b> tested to BS EN 61000-3-12</p>   |                  |

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Type A Power Generating Modules



| Power Generating Module rating per phase (rpp) |                                  |      |                             |      | kVA                       | Harmonic % = Measured Value (A) x 23/rating per phase (kVA) |  |
|--|----------------------------------|------|-----------------------------|------|---------------------------|---|--|
| Harmonic                                       | At 45-55% of Registered Capacity |      | 100% of Registered Capacity |      | Limit in BS EN 61000-3-12 |   |  |
|  | Measured Value MV in Amps        | %    | Measured Value MV in Amps   | %    | 1 phase                   | 3 phase   |  |
| 2  | 0.102                            | 0.17 | 0.156                       | 0.13 | 8%                        | 8%  |  |
| 3  | 0.084                            | 0.14 | 0.228                       | 0.19 | 21.6%                     | Not stated  |  |
| 4  | 0.12                             | 0.2  | 0.204                       | 0.17 | 4%                        | 4%  |  |
| 5  | 0.024                            | 0.04 | 0.072                       | 0.06 | 10.7%                     | 10.7%   |  |
| 6  | 0.012                            | 0.02 | 0.012                       | 0.01 | 2.67%                     | 2.67%   |  |
| 7  | 0.048                            | 0.08 | 0.132                       | 0.11 | 7.2%                      | 7.2%  |  |
| 8  | 0.078                            | 0.13 | 0.132                       | 0.11 | 2%                        | 2%  |  |
| 9  | 0.024                            | 0.04 | 0.06                        | 0.05 | 3.8%                      | Not stated  |  |
| 10   | 0.078                            | 0.13 | 0.144                       | 0.12 | 1.6%                      | 1.6%  |  |
| 11   | 0.018                            | 0.03 | 0.06                        | 0.05 | 3.1%                      | 3.1%  |  |
| 12   | 0.006                            | 0.01 | 0.012                       | 0.01 | 1.33%                     | 1.33%   |  |
| 13   | 0.012                            | 0.02 | 0.06                        | 0.05 | 2%                        | 2%  |  |
| THD <sup>1</sup>                               | 0.46                             | 0.48 | 0.41                        | 0.44 | 23%                       | 13%   |  |
| PWHD <sup>2</sup>                              | 0.18                             | 0.19 | 0.21                        | 0.22 | 23%                       | 22%   |  |

<sup>1</sup> THD = Total Harmonic Distortion

<sup>2</sup> PWHD = Partial Weighted Harmonic Distortion

|  |   |            |                     |                          |                 |                 |
|--|---|------------|---------------------|--------------------------|-----------------|-----------------|
| <p><b>3. Power Quality – Voltage fluctuations and Flicker:</b></p> <p>For <b>Power Generating Modules</b> of <b>Registered Capacity</b> of less than 75 A per phase (ie 50 kW) these tests should be undertaken in accordance with Annex A.7.1.4.3. Results should be normalised to a standard source impedance, or if this results in figures above the limits set in BS EN 61000-3-11 to a suitable Maximum Impedance.</p> <p>For <b>Power Generating Modules</b> of <b>Registered Capacity</b> of greater than 75 A per phase (ie 50 kW) the installation must be designed in accordance with EREC P28.</p> |   |            |                     |                          |                 |                 |
| Flicker Test Data attached as a separate document on the type test register.   |   |            |                     |                          |                 |                 |
| Voltage flicker tests passed: impedance angle 30°, 0.098 Ω   |   |            |                     |                          |                 |                 |
| Test start date  | Feb 28 <sup>th</sup> 2013   |            | Test end date       | Mar 6 <sup>th</sup> 2013 |                 |                 |
| Test location  | Capstone Turbine Corporation (Old office)<br>21211 Nordhoff St., Chatsworth, CA 91311 |            |                     |                          |                 |                 |
| <p><b>4. Power quality – DC injection:</b> The tests should be carried out on a single <b>Generating Unit</b>. Tests are to be carried out at three defined power levels ±5%. At 230 V a 50 kW three phase <b>Inverter</b> has a current output of 217 A so DC limit is 543 mA. These tests should be undertaken in accordance with Annex A.7.1.4.4.</p>   |   |            |                     |                          |                 |                 |
| Test power level   | 10%   |            | 55%                 | 100%                     |                 |                 |
| Recorded value in Amps   | 0.016<br>0.002<br>0.002   |            | 0.1<br>0.01<br>0.01 | 0.0<br>0.008<br>0.0      |                 |                 |
| as % of rated AC current   | 0.07%   |            | 0.07%               | 0.01%                    |                 |                 |
| Limit  | 0.25%   |            | 0.25%               | 0.25%                    |                 |                 |
| <p><b>5. Power Factor:</b> The tests should be carried out on a single <b>Power Generating Module</b>. Tests are to be carried out at three voltage levels and at <b>Registered Capacity</b>. Voltage to be maintained within ±1.5% of the stated level during the test. These tests should be undertaken in accordance with Annex A.7.1.4.2.</p>  |   |            |                     |                          |                 |                 |
| Voltage  | 0.94 pu (216.2 V)   |            | 1 pu (230 V)        | 1.1 pu (253 V)           |                 |                 |
| Measured value   | 1   |            | 1                   | 1                        |                 |                 |
| <b>Power Factor</b> Limit  | >0.95   |            | >0.95               | >0.95                    |                 |                 |
| <p><b>6. Protection – Frequency tests:</b> These tests should be carried out in accordance with the Annex A.7.1.2.3.</p>   |   |            |                     |                          |                 |                 |
| Function   | Setting   |            | Trip test           |                          | "No trip tests" |                 |
|  | Frequency   | Time delay | Frequency           | Time Delay               | Frequency /time | Confirm no trip |

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|             |         |       |                                     |         |                    |           |
|-------------|---------|-------|-------------------------------------|---------|--------------------|-----------|
| U/F stage 1 | 47.5 Hz | 20 s  | L1 47.503<br>L2 47.503<br>L3 47.503 | 20.234s | 47.7 Hz<br>30 s    | Confirmed |
| U/F stage 2 | 47 Hz   | 0.5 s | L1 47.004<br>L2 47.004<br>L3 47.004 | 0.734s  | 47.2 Hz<br>19.5 s  | Confirmed |
|             |         |       |                                     |         | 46.8 Hz<br>0.45 s  | Confirmed |
| O/F         | 52 Hz   | 0.5 s | L1 51.991<br>L2 51.991<br>L3 51.991 | 0.796s  | 51.8 Hz<br>120.0 s | Confirmed |
|             |         |       |                                     |         | 52.2 Hz<br>0.45 s  | Confirmed |

Note. For frequency trip tests the frequency required to trip is the setting  $\pm 0.1$  Hz. In order to measure the time delay a larger deviation than the minimum required to operate the protection can be used. The “No trip tests” need to be carried out at the setting  $\pm 0.2$  Hz and for the relevant times as shown in the table above to ensure that the protection will not trip in error.

**7. Protection – Voltage tests:** These tests should be carried out in accordance with Annex A.7.1.2.2.

| Function    | Setting              |            | Trip test                            |            | “No trip tests”   |                 |
|-------------|----------------------|------------|--------------------------------------|------------|-------------------|-----------------|
|             | Voltage              | Time delay | Voltage                              | Time delay | Voltage /time     | Confirm no trip |
| U/V         | 0.8 pu<br>(184 V)    | 2.5 s      | L1 183.05<br>L2 182.726<br>L3 182.11 | 2.544S     | 188 V<br>5.0 s    | Confirmed       |
|             |                      |            |                                      |            | 180 V<br>2.45 s   | Confirmed       |
| O/V stage 1 | 1.14 pu<br>(262.2 V) | 1.0 s      | L1 261.05<br>L2 260.9<br>L3 261.08   | 1.093S     | 258.2 V<br>5.0 s  | Confirmed       |
| O/V stage 2 | 1.19 pu<br>(273.7 V) | 0.5 s      | L1 272.55<br>L2 272.42<br>L3 272.59  | 0.546S     | 269.7 V<br>0.95 s | Confirmed       |
|             |                      |            |                                      |            | 277.7 V<br>0.45 s | Confirmed       |

Note for Voltage tests the Voltage required to trip is the setting  $\pm 3.45$  V. The time delay can be measured at a larger deviation than the minimum required to operate the protection. The No trip tests need to be carried out at the setting  $\pm 4$  V and for the relevant times as shown in the table above to ensure that the protection will not trip in error.

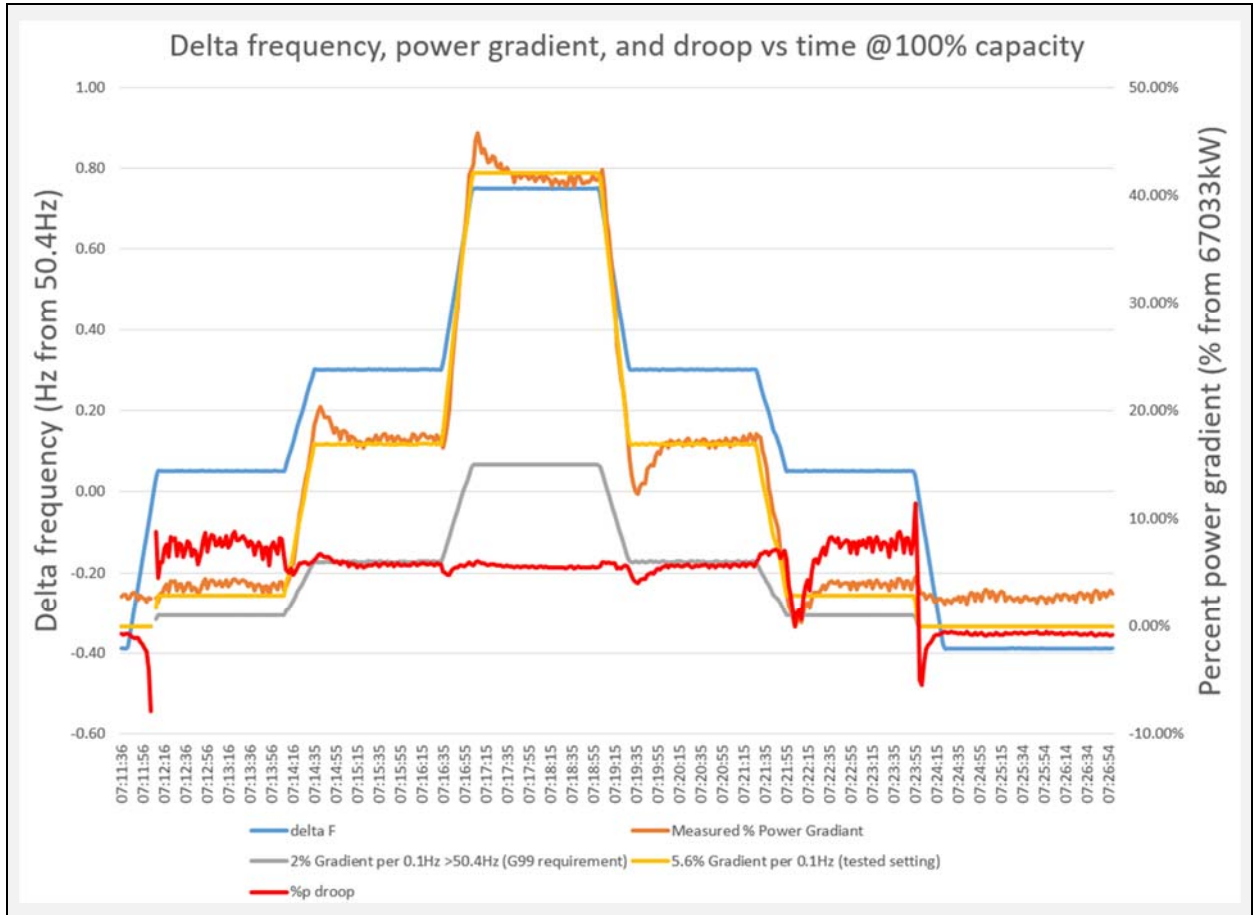
**8. Protection – Loss of Mains test:** These tests should be carried out in accordance with BS EN 62116. Annex A.7.1.2.4.

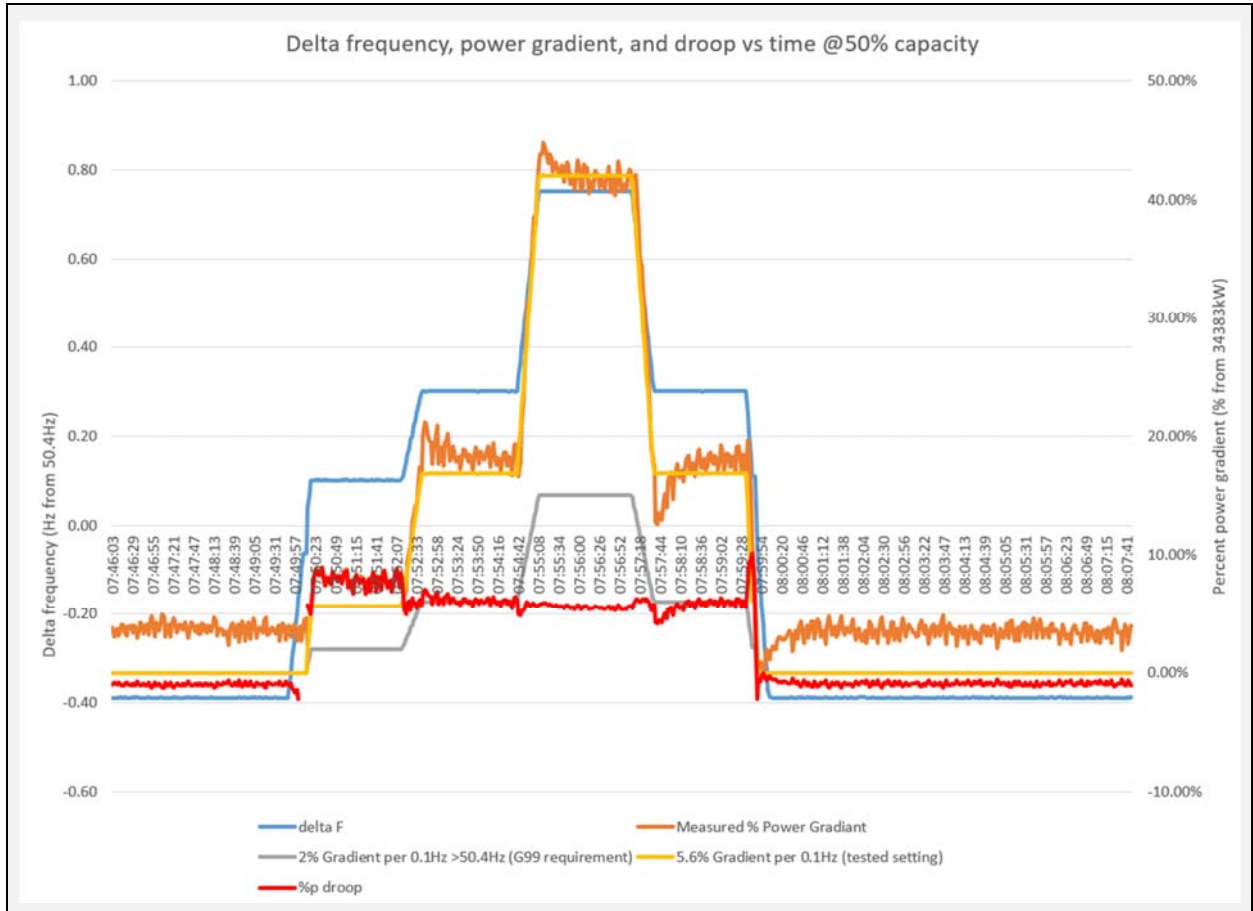
The following sub set of tests should be recorded in the following table.

| Test Power and imbalance    | 33%<br>-5% Q<br>Test 22 | 66%<br>-5% Q<br>Test 12 | 100%<br>-0% P<br>Test 5 | 33%<br>+5% Q<br>Test 31 | 66%<br>+5% Q<br>Test 21 | 100%<br>+5% P<br>Test 10 |
|-----------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------------------|
| Trip time.<br>Limit is 0.5s | 0.102s                  | 0.105s                  | 0.256s                  | 0.105s                  | 0.104s                  | 0.1887s                  |



|  |                         |              |                 |
|--|-------------------------|--------------|-----------------|
| <b>Loss of Mains Protection, Vector Shift Stability test.</b> This test should be carried out in accordance with Annex A.7.1.2.6.  |                         |              |                 |
|  | Start Frequency         | Change       | Confirm no trip |
| Positive Vector Shift  | 49.5 Hz                 | +50 degrees  | Confirmed       |
| Negative Vector Shift  | 50.5 Hz                 | - 50 degrees | Confirmed       |
| <b>Loss of Mains Protection, RoCoF Stability test:</b> This test should be carried out in accordance with Annex A.7.1.2.6.   |                         |              |                 |
| Ramp range   | Test frequency ramp:    |              | Test Duration   |
| 49.0 Hz to 51.0 Hz   | +0.95 Hzs <sup>-1</sup> |              | 2.1 s           |
| 51.0 Hz to 49.0 Hz   | -0.95 Hzs <sup>-1</sup> |              | 2.1 s           |
| <b>9. Limited Frequency Sensitive Mode – Over frequency test:</b> The test should be carried out using the specific threshold frequency of 50.4 Hz and <b>Droop</b> of 10%.<br>This test should be carried out in accordance with Annex A.7.1.3. |                         |              |                 |
| <b>Active Power</b> response to rising frequency/time plots are attached if frequency injection tests are undertaken in accordance with Annex A.7.2.4.   |                         |              | <b>Y/N</b>      |





| Test sequence at Registered Capacity >80% | Measured Active Power Output | Frequency | Primary Power Source   | Active Power Gradient |
|---|------------------------------|-----------|--|-----------------------|
| Step a) 50.00Hz ±0.01Hz                   | 65.3kW                       | 50.016    | Microturbine connected to Bi-directional AC programmable power supply. | -0%                   |
| Step b) 50.45Hz ±0.05Hz                   | 64.121kW                     | 50.451    |  | -2.11%                |
| Step c) 50.70Hz ±0.10Hz                   | 55.2kW                       | 50.701    |  | -15.41%               |
| Step d) 51.15Hz ±0.05Hz                   | 38.2kW                       | 51.151    |  | -40.68%               |
| Step e) 50.70Hz ±0.10Hz                   | 55.834kW                     | 50.702    |  | -14.47%               |
| Step f) 50.45Hz ±0.05Hz                   | 64.124kW                     | 50.452    |  | -2.10%                |

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|  |                                     |  |  |                              |
|--|-------------------------------------|--|--|------------------------------|
| Step g) 50.00Hz<br>±0.01Hz   | 65.5kW                              | 50.011   |  | 0.03%                        |
| Test sequence at <b>Registered Capacity</b> 40% - 60%  | Measured <b>Active Power</b> Output | Frequency  | Primary Power Source   | <b>Active Power Gradient</b> |
| Step a) 50.00Hz<br>±0.01Hz   | 33.107kW                            | 50.011   | Microturbine connected to Bi-directional AC programmable power supply. | 0%                           |
| Step b) 50.50Hz<br>±0.05Hz   | 31.79kW                             | 50.501   |  | -3.83%                       |
| Step c) 50.70Hz<br>±0.10Hz   | 28.09kW                             | 50.702   |  | -14.5%                       |
| Step d) 51.15Hz<br>±0.05Hz   | 19.98kW                             | 51.151   |  | -38.19%                      |
| Step e) 50.70Hz<br>±0.10Hz   | 28.111kW                            | 50.701   |  | -14.53%                      |
| Step g) 50.00Hz<br>+/- 0.01Hz  | 33.229kW                            | 50.012   |  | -0.35%                       |
| <b>10. Protection – Re-connection timer.</b>   |                                     |  |  |                              |
| Test should prove that the reconnection sequence starts after a minimum delay of 20 s for restoration of voltage and frequency to within the stage 1 settings of Table 10.1. |                                     |  |  |                              |
| Time delay setting   | Measured delay                      | Checks on no reconnection when voltage or frequency is brought to just outside stage 1 limits of Table 10.1. |  |                              |
| 5mins  | 300s                                | At 1.16 pu (266.2 V)   | At 0.85 pu (196.1 V)   | At 47.4 Hz<br>At 52.1 Hz     |
| Confirmation that the <b>Power Generating Module</b> does not re-connect.  |                                     | confirmed  | confirmed  | confirmed<br>confirmed       |
| <b>11. Fault level contribution:</b> These tests shall be carried out in accordance with EREC G99 Annex A.7.1.5.   |                                     |  |  |                              |
| For <b>Inverter</b> output   |                                     |  |  |                              |
| Time after fault   | Volts                               | Amps   |  |                              |
| 20ms   | 0                                   | 0  |  |                              |
| 100ms  | 0                                   | 0  |  |                              |
| 250ms  | 0                                   | 0  |  |                              |

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|   |          |            |
|---|----------|------------|
| 500ms   | 0        | 0          |
| Time to trip  | 0.0091   | In seconds |
| <b>12. Self-Monitoring solid state switching:</b> No specified test requirements. Refer to Annex A.7.1.7.   |          |            |
| It has been verified that in the event of the solid state switching device failing to disconnect the <b>Power Park Module</b> , the voltage on the output side of the switching device is reduced to a value below 50 volts within 0.5 s.   | Yes/ NA  |            |
| <b>13. Wiring functional tests:</b> If required by para 15.2.1.   |          |            |
| Confirm that the relevant test schedule is attached (tests to be undertaken at time of commissioning)   | Yes / NA |            |
| <b>14. Logic interface (input port).</b>  |          |            |
| Confirm that an input port is provided and can be used to shut down the module.   | Yes / NA |            |
| Additional comments.  |          |            |
| <p>System designed iaw. EREC G5, and P28.</p> <p>DNOs: Please visually ensure that the attached screenshot "Screenshot G99 C65 GC_Settings.jpg" is followed correctly on site in the installers software settings.</p> <p>2-3. Harmonics data is more comprehensive than this form. Voltage flicker tests passed. Please see attached restricted document: harmonics and flicker data. Flicker test impedance (at 100%) was angle of test 30°, 0.098Ω.</p> <p>5. Power factor:<br/>Reactive current is used to provide a trip of loss of current if one phase is removed (it is detectable). So with no real power we still push reactive current in order to detect loss of single phase. This will be detectable at low power outputs.</p> <p>9. Power reduction gradient results used were set to 40% droop. Configurable from 0% through 60%. For G99 this is set to 10% droop.</p> <p>11. Fault level contribution peaked at 300Apk for (9.1mS)</p> <p>12. solid state IGBT switching device is zero within 400mS and is also isolated via the output contactor (0 within 180mS), annex A.7.1.7 not yet written to define test.</p> <p>13. Per ENA: As the device is greater than 50kW the device submission needs to be uploaded as Partially Type Tested due to the outstanding Harmonic and Voltage Fluctuation Tests to be carried out by the DNO as per EREC G5 and EREC P28 respectively.</p> <p>14. Input port can be always digital i/o or often with the option of Modbus depending on installation.</p> <p><b>Explain the PGU's behaviour from the generator perspective during a LVFRT event:</b><br/>During a low voltage fault ride through event, the Load Control Module (LCM) ceases to output direct current and ramps in reactive current. The Micro Turbine engine and generator continue to generate power during this event. In this instance, the Engine Control Module (ECM) continues to support</p> |          |            |

the AC-to-DC output to maintain the internal DC bus between the ECM and LCM, but the ECM will also deliver power through IGBT switching mechanism into an internal brake resistor assembly. This brake resistor assembly is rated to absorb the power and expel the heat created by the engine & generator for the duration of the low voltage ride through event. Once the grid voltage is restored to nominal level, the ECM ceases to feed power to the brake resistor, and only feeds power to the internal DC bus in support of the LCM to export active power back to the utility grid.